

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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June 3, 2016

**By ECF** 

The Honorable Valerie E. Caproni United States District Judge Thurgood Marshall United States Courthouse 40 Foley Square New York, N.Y. 10007

Re: SEC

SEC v. Park, 16 Civ. 4103 (VEC) (JCF) (S.D.N.Y.)

Dear Judge Caproni:

I represent plaintiff Securities and Exchange Commission in this action against Haena Park, which the Commission filed on June 2, 2016. Pursuant to Local Rule 1.6, this is to notify the Court of a related case that the U.S. Commodity Futures Trading Commission filed later the same day in this Court against Haena Park and others, captioned *CFTC v. Park*, 16-cv-4120 (S.D.N.Y.). The Commission and CFTC cases arise from the same transactions and events, are likely to involve proof of many of the same or substantially similar facts, and may involve the same witnesses.

Also on June 2, the United States Attorney for the Southern District of New York filed parallel criminal charges against Haena Park in *United States v. Park*, 16-Mag-3503 (S.D.N.Y.).

Respectfully submitted,

J*p*ck Kaufman

Senior Trial Counsel
Division of Enforcement

cc: Hon. James C. Francis IV (by ECF and UPS)

Haena Park (by UPS)

Luke B. Marsh, Esq. (by email) Christine I. Magdo, Esq. (by email)